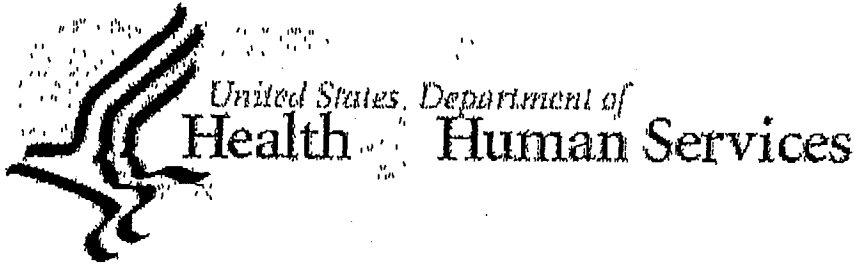


Confidential

PROGRAM SUPPORT CENTER  
 FINANCIAL MANAGEMENT SERVICE  
**DIVISION OF COST ALLOCATION**  
 CENTRAL STATES FIELD OFFICE  
 Dallas, Texas



### FAX TRANSMISSION

**To:** Mr. John A. Koropchak, Vice Chancellor **From:** Shon Turner 214-767-3267  
 for Research and Graduate Dean

**Fax:** 618-536-2630 **Number Of Pages:** 5

**Phone:** 706-453-2362 **Date:**

**Re:** Rate Agreement **CC:**

- Urgent     For Review     Please Comment     Please Reply     Please Recycle

• **Comments:**

If you have any problems with the legibility of any part of the agreement, please contact Shon Turner at 214-767-3267.

Please fax back only the signed page of the agreement to fax number 214-767-3264. A fax cover sheet is not required.

THANK YOU.

## COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN #: 1376005961A1

DATE: March 31, 2008

## INSTITUTION:

Southern Illinois University at Carbondale  
 Mail Code 4344  
 Carbondale IL 62901-4716

FILING REF.: The preceding  
 Agreement was dated  
 May 10, 2005

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: FACILITIES AND ADMINISTRATIVE COST RATES\*

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

TYPE	EFFECTIVE PERIOD		RATE (%)	LOCATIONS	APPLICABLE TO
	FROM	TO			
PRED.	07/01/08	06/30/12	45.5	On Campus	Organized Research
PRED.	07/01/08	06/30/12	45.5	On Campus	Instruction
PRED.	07/01/08	06/30/12	31.0	On Campus	Other Sponsored Act
PRED.	07/01/08	06/30/12	26.0	Off Campus	All Programs
PROV.	07/01/12	UNTIL AMENDED	Use same rates and conditions as those cited for fiscal year ending June 30, 2012.		

\*BASE:

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

INSTITUTION:  
Southern Illinois University at Carbondale

AGREEMENT DATE: March 31, 2008

SECTION I: FRINGE BENEFITS RATES\*\*

RATE TYPES:		FIXED	FINAL	PROV. (PROVISIONAL)	PRED. (PREDETERMINED)
TYPE	EFFECTIVE PERIOD		RATE (%)	LOCATIONS	APPLICABLE TO
	FROM	TO			
FIXED	07/01/08	06/30/10	32.0	All	Faculty & Staff
FIXED	07/01/08	06/30/10	31.0	All	Faculty/SW/O/200,000
PROV.	07/01/10	UNTIL AMENDED	32.0	All	Faculty & Staff
PROV.	07/01/10	UNTIL AMENDED	31.0	All	Faculty/SW/O/200,000

\*\*DESCRIPTION OF FRINGE BENEFITS RATE BASE:  
Salaries and wages.

INSTITUTION:  
Southern Illinois University at Carbondale

AGREEMENT DATE: March 31, 2008

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES:

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims for the costs of these paid absences are not made.

OFF-CAMPUS DEFINITION: For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s), the off-campus rate will apply. Grants or contracts will not be subject to more than one F&A cost rate. If more than 50% of a project is performed off-campus, the off-campus rate will apply to the entire project.

Equipment Definition -

Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit.

FRINGE BENEFITS:

- FICA
- Retirement
- Worker's Compensation
- Life Insurance
- Unemployment Insurance
- Health Insurance
- Dental Insurance
- Vision Insurance

INSTITUTION:  
Southern Illinois University at Carbondale

AGREEMENT DATE: March 31, 2008

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21 Circular, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:  
Southern Illinois University at Carbondale

(INSTITUTION)

(SIGNATURE)

John A Koropchak

(NAME)

Vice Chancellor for Research  
& Graduate Dean

(TITLE)

April 10, 2008

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

(SIGNATURE)

Henry Williams

(NAME)

DIRECTOR, DIVISION OF COST ALLOCATION-

(TITLE) CENTRAL STATES FIELD OFFICE

March 31, 2008

(DATE) 5027

NHS REPRESENTATIVE: Shon D. Turner

Telephone: (214) 767-3267



DEPARTMENT OF HEALTH & HUMAN SERVICES

Program Support Center  
Financial Management Service  
Division of Cost Allocation

1301 Young Street  
Room 732  
Dallas, Texas 75202  
(214)-767-3261  
(214)-767-3264 FAX

March 5, 2008

Mr. John A. Koropchak  
Vice Chancellor for Research and Graduate Dean  
Southern Illinois University at Carbondale  
Mail Code 4344  
Carbondale, IL 62901-4716

Dear Mr. Koropchak:

The original and one copy of a facilities and administrative cost and fringe benefit Rate Agreement are enclosed. This Agreement reflects an understanding reached between your organization and a member of my staff concerning the fringe benefit rate(s) that may be used to support your claim for indirect costs on grants and contracts with the Federal Government.

Please have the original signed by an authorized representative of your organization and fax it to me, retaining the copy for your files. Our fax number is (214) 767-3264. We will reproduce and distribute the Agreement to the appropriate awarding organizations of the Federal Government for their use.

A facilities and administrative cost proposal, together with the supporting information, is required to substantiate your claim for indirect costs under grants and contracts awarded by the Federal Government. A fringe benefit rate proposal is required to be submitted annually. Thus your next fringe benefit proposal based on actual costs the fiscal year ending June 30, 2009, is due in our office by December 31, 2009. Your next facilities and administrative cost proposal based on actual costs for the fiscal year ending June 30, 2011, is due in our office by December 31, 2011.

Regular Faculty and Academic Staff           \$-0-  
Regular Faculty with (S/W) over \$200,000   \$-0-

The Fixed fringe benefits rates for Fiscal years ending June 30, 2007 are considered final. Since this is an integral part of the negotiation agreement, please acknowledge your acceptance by signing in the space provided below of this letter.

There are no carry forward amounts because this is the first fringe benefit rates. Thank you for your cooperation.

Sincerely,

Henry Williams  
Director  
Division of Cost Allocations  
Central States Field Office

Enclosures

ACCEPTANCE

John A Koropchak

Name



Vice Chancellor for Research  
Title & Graduate Dean

April 10, 2008

Date

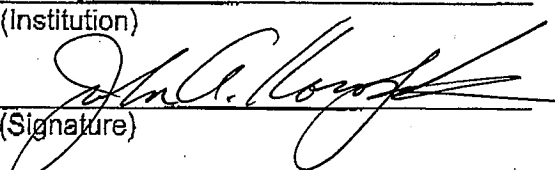
**COMPONENTS OF PUBLISHED F&A COST RATE**

INSTITUTION: **Southern Illinois Univ at Carbondale**  
 FY COVERED BY RATE: **JULY 1, 2008 through JUNE 30, 2011**  
 APPLICABLE TO: **ORGANIZED RESEARCH**

RATE COMPONENT:	<u>ON CAMPUS</u>	<u>OFF CAMPUS</u>
Building Depreciation	2.7	
Equipment Depreciation	2.5	
Interest	0.0	
Operation & Maintenance	13.3	
Library	1.0	
Administration	26.0	26.0
TOTAL	<u>45.5</u>	<u>26.0</u>

**CONCURRENCE:**

Southern Illinois Univ at Carbondale  
 (Institution)

  
 (Signature)

John A Koropchak  
 (Name)

Vice Chancellor for Research  
 (Title) & Graduate Dean

April 10, 2008  
 (Date)